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<table>
<thead>
<tr>
<th>REVISION</th>
<th>ORIGINATOR</th>
<th>RELEASE DATE</th>
<th>DESCRIPTION OF FUNCTIONAL CHANGE</th>
<th>UPDATES TO SMELTER LIST</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Jared Connors, Intel</td>
<td>July 19th, 2011</td>
<td>New Release</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Jared Connors, Intel</td>
<td>Aug 29th, 2012</td>
<td>Major update to functionality including: addition of the known smelter list, addition of declaration scope including product tab, and added and modified multiple questions and/or their responses.</td>
<td>New.</td>
</tr>
</tbody>
</table>
# REVISION HISTORY

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<tr>
<th>Revision</th>
<th>Date</th>
<th>Author</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.02</td>
<td>March 29th, 2013</td>
<td>Jared Connors, Intel</td>
<td>1. Added new selection to the metals dropdown lists of smelter list tab “Smelter not yet identified”&lt;br&gt;2. Moved “smelter not listed” to the bottom of each metals dropdown list&lt;br&gt;3. Fixed error in Checker sheet to eliminate display of text “one or more smelters have been added to smelter list” when rows are deleted&lt;br&gt;4. Rewrite of T&amp;Cs&lt;br&gt;5. Adding Italian translation&lt;br&gt;6. Allow for deletion of rows in Smelter List tab&lt;br&gt;7. Removed hover over text in column C of Smelter List tab&lt;br&gt;8. Inserted additional rows for data entry on the Smelter List tab up to 2,500 rows&lt;br&gt;9. Made smelter ID numbers visible in Smelter List tab&lt;br&gt;10. Made template revision history tab visible&lt;br&gt;11. Removed language selection from individual tabs, all controlled on Declaration tab&lt;br&gt;12. Updated template to prevent users from adding tabs to the worksheet&lt;br&gt;13. Added statement at the top of the revision history tab clarifying purpose of .0x revision updates</td>
</tr>
</tbody>
</table>
## REVISION HISTORY

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<thead>
<tr>
<th>Revision</th>
<th>Name</th>
<th>Date</th>
<th>Changes</th>
</tr>
</thead>
</table>
| 2.03     | Akimasa Yamakawa, JEITA / John Plyer, BlackBerry | July 12th, 2013 | 1. Resolved Excel 2003 incompatibility with programming for multiple languages.  
2. Minor corrections to row number references in the instructions.  
3. Added translation on checker sheet for the Column Name “Hyperlink to Source”  
4. Corrected Japanese translation of “authorized representative” and “representative” on Declaration worksheet.  
5. Adjusted row spacing of misc cells to allow for different lengths of translated text and comments.  
6. Removed the symbols for the metals on the standard smelter list (e.g., “Sn”).  
7. Deleted text “If no for all metals, you are done with this survey.” from question 1 on the Declaration worksheet.  
8. Added “Fujian Jinxin Tungsten Co., Ltd.” as tungsten refiner  
9. Added “Dayu Weiliang Tungsten Co., Ltd.” as tungsten refiner  
10. Added “Xinhai Rendan Shaoqugan Tungsten Co., Ltd.” as tungsten refiner  
11. Added “Hunan Chun-Chang Nonferrous Smelting & Concentrating Co., Ltd.” as tungsten refiner  
12. Added “Jiangxi Minmetals Gao’an Non-ferrous Metals Co., Ltd.” as tungsten refiner |
|          |      |      | 1. Added “Fujian Jinxin Tungsten Co., Ltd.” as tungsten refiner  
2. Added “Dayu Weiliang Tungsten Co., Ltd.” as tungsten refiner  
3. Added “Xinhai Rendan Shaoqugan Tungsten Co., Ltd.” as tungsten refiner  
4. Added “Hunan Chun-Chang Nonferrous Smelting & Concentrating Co., Ltd.” as tungsten refiner  
5. Added “Jiangxi Minmetals Gao’an Non-ferrous Metals Co., Ltd.” as tungsten refiner  
6. Corrected the spelling of “Allydne” to “Alldyne”  
7. Corrected the spelling of “Alldyne Powder Technologies” to “Alldyne Powder Technologies”  
8. Corrected the spelling of “Korea Metal” to “Korea Metal Co. Ltd”  
9. Added “Tantalite Resources” as tantalum smelter  
10. Added “Tantalite Resources” as tantalum smelter  
11. Corrected naming inconsistency of “Ohio Precious Metals LLC.” on standard smelter list and alias table.  
12. Corrected naming inconsistency of “The Refinery of Shandong Gold Mining Co., Ltd” on standard smelter list and alias table.  
13. Added “Molycoor Silmet” as tantalum smelter  
15. Corrected the spelling of “ALMT” to “A.L.M.T. Corp.”  
17. Corrected country of “A.L.M.T. Corp.” to “Japan” and smelter ID to “4JPN020”  
18. Corrected the spelling of “Alldyne Powder Technologies” to “Alldyne Powder Technologies”  
19. Corrected the spelling of “Asahi Pretec Corp” to “Asahi Pretec Corporation”  
20. Added “Fenix Metals” as tin smelter  
21. Changed alias “Bangka Tin” from “PT Tambang Timah” to “PT Timah”  
22. Added “Kundur” as an alias of “PT Tambang Timah”  
23. Added “TT” as an alias of “PT Tambang Timah”  
24. Added “CooperMetal” as an alias of “Coopersanta”  
25. Corrected the spelling of “Malaysia Smelting Corp” to “Malaysia Smelting Corporation (MSC)”  
26. Corrected the spelling of “CV Prima Timah Utama” to “PT Prima Timah Utama”. |
| 2.03a    | John Plyer, BlackBerry | July 25th, 2013 | No functional change.  
1. Corrected the spelling of “ALMT” to “A.L.M.T. Corp.”  
3. Corrected country of “A.L.M.T. Corp.” to “Japan” and smelter ID to “4JPN020”  
4. Changed alias “Wolfra” for “Wolfra” to “Wolfra”  
5. Added “Wolfra (Russia)” as an alias of “Wolfra”  
6. Added “Kunametal Inc.” as a tungsten refiner  
7. Added “Kunametal” as an alias of “Kunametal Inc.” |
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**REVISION HISTORY**  
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<table>
<thead>
<tr>
<th>Revision</th>
<th>Date</th>
<th>Description</th>
<th>Gold Refiners Added</th>
</tr>
</thead>
</table>
| 3.00     | April 9th, 2014 | Major update to synchronize the CFSI CMRT with the data fields in the newly published IPC-1755 Standard. Changes include:  
1. Addition of new company information fields.  
2. Two additional due diligence questions and removal of one.  
3. Minor changes to question text throughout.  
4. Expansion of instructions and definitions.  
5. Updated translations of all modified text.  |  
- Bauer Walser AG  
- C. Hafner GmbH + Co. KG  
- China National Gold Group Corporation  
- Colt Refining  
- Daye Non-Ferrous Metals Mining Ltd.  
- Duduco  
- Eco-System Recycling Co., Ltd.  
- Gansu Seemine Material Hi-Tech Co Ltd  
- Guangdong Jinding Gold Limited  
- Hanzhou Fuchunhuan Smelting Co. Ltd.  
- Hunan Chenzhou Mining Industry Group  
- Kennecott Utah Copper LLC  
- Lingbao Jinyuan Tonghui Refinery Co. Ltd.  
- Luoyang Zijin Yinhu Metal Smelt Co Ltd  
- Metallurgic Products India (Pvt.) Ltd.  
- Ohura Precious Metal Industry Co., Ltd  
- Penglai Penggang Gold Industry Co Ltd  
- So Accurate Group, Inc.  
- Tongling nonferrous Metals Group Co., Ltd  
- Umicore Precious Metals Thailand  
- YAMAMOTO PRECIOUS METAL CO., LTD.  
|  
|  |  | |  |  
| Added the following gold refiners:  
1. Bauer Walser AG  
2. C. Hafner GmbH + Co. KG  
3. China National Gold Group Corporation  
4. Colt Refining  
5. Daye Non-Ferrous Metals Mining Ltd.  
6. Duduco  
7. Eco-System Recycling Co., Ltd.  
8. Gansu Seemine Material Hi-Tech Co Ltd  
9. Guangdong Jinding Gold Limited  
10. Hanzhou Fuchunhuan Smelting Co. Ltd.  
11. Hunan Chenzhou Mining Industry Group  
12. Kennecott Utah Copper LLC  
13. Lingbao Jinyuan Tonghui Refinery Co. Ltd.  
14. Luoyang Zijin Yinhu Metal Smelt Co Ltd  
15. Metallurgic Products India (Pvt.) Ltd.  
16. Ohura Precious Metal Industry Co., Ltd  
17. Penglai Penggang Gold Industry Co Ltd  
18. So Accurate Group, Inc.  
19. Tongling nonferrous Metals Group Co., Ltd  
20. Umicore Precious Metals Thailand  
21. YAMAMOTO PRECIOUS METAL CO., LTD.  
22. Yunman Copper Industry Co Ltd  
|  
| Added the following tantalum smelters:  
1. Changsha South Tantalum Niobium Co Ltd  
2. Guangdong Zhiyuan New Material Co., Ltd.  
3. Hengyang King Xing Lifeng New Materials Co., LTD  
4. Metalurgical Products India (Pvt.) Ltd.  
5. Mineração Taboca S.A.  
6. Shanghai Jiangxi Metals Co. Ltd  
7. Yichun Jin Yang Rare Metal Co., Ltd  
8. Yichun Jin Yang Rare Metal Co., Ltd  
|  
| Added the following tantalum smelters:  
1. Changsha South Tantalum Niobium Co Ltd  
2. Guangdong Zhiyuan New Material Co., Ltd.  
3. Hengyang King Xing Lifeng New Materials Co., LTD  
4. Metalurgical Products India (Pvt.) Ltd.  
5. Mineração Taboca S.A.  
6. Shanghai Jiangxi Metals Co. Ltd  
7. Yichun Jin Yang Rare Metal Co., Ltd  
|  
| Added the following tin smelters:  
1. China Rare Metal Materials Company  
2. Estanho de Rondônia S.A.  
3. Magnu's Minerais Metais e Ligas LTDA  
4. O.M. Manufacturing (Thailand) Co., Ltd.  
5. Rui Da Hung  
|
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Added the following tungsten refiners:
1. Ganzhou Jiangwu Ferrotungsten Co., Ltd.
2. Jiangxi Gan Bei Tungsten Co., Ltd.
5. Jiangxi Xinsheng Tungsten Industry Co., Ltd.
6. Jiangxi Yaosheng Tungsten Co., Ltd.
7. Malipo Haiyu Tungsten Co., Ltd.
8. Xiamen Tungsten (H.C.) Co., Ltd.

Removed the following as gold refiners:
1. Central Bank of the DPR of Korea
2. Codelco
3. Suzhou Xingri Noble

Removed “Gannon & Scott” as a tantalum smelter

Removed the following as tin smelters:
1. CV Duta Putra Bangka
2. CV Gita Pesona
3. CV JusTindo
4. CV Makmur Jaya
5. CV Nurjanah
6. Gold Bell Group
7. PT Alam Lestari Kencana
8. PT Babel Surya Alam Lestari
9. PT Bangka Kudai Tin
10. PT Bangka Timah Utama Sejahtera
11. PT BilliTin Makmur Lestari
12. PT Fang Di MulTindo
13. PT HP Metals Indonesia
14. PT Koba Tin
15. PT Panca Mega
16. PT Seirama Tin investment
17. PT Sumber Jaya Indah
18. PT Timah Nusantara
19. PT Tommy Utama
20. PT YinchenG Mining Industry

Removed the following as tungsten refiners:
1. China Minmetals Nonferrous Metals Co Ltd
2. Ganzhou Grand Sea W & Mo Group Co Ltd
## REVISION HISTORY

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<th>Date</th>
<th>Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.01</td>
<td>Akimasa Yamakawa, JEITA, and John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Workgroup</td>
<td>May 30th, 2014</td>
<td>1. Removed the ability to overwrite the &quot;Declaration Scope or Class&quot; field. Users are restricted to only use the drop-down options. 2. Addressed issue with Checker incorrectly showing &quot;Description of Scope&quot; as missing data when a user selects &quot;B. Product (or List of Products)&quot; as the Declaration Scope. 3. Programmed Checker to show missing data when response to question B is &quot;Yes&quot;, unless a url is entered the corresponding &quot;Comments&quot; field. Changed numerous &quot;Alias&quot; names of smelters and refiners. 1. Added the tin smelter &quot;Melt Metais e Ligas S/A&quot; 2. Added the tungsten refiner &quot;Vietnam Youngsun Tungsten Industry Co., Ltd&quot; 3. Corrected the name of tin smelter &quot;Liuzhou China Tin&quot; to &quot;China Tin Group Co., Ltd.&quot; 4. Corrected the name of tin smelter &quot;PT Timah&quot; to &quot;PT Timah (Persero), Tbk&quot;</td>
</tr>
</tbody>
</table>
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<th>Description</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.0</td>
<td>Apr 30th, 2015</td>
<td>Replaced the Standard Smelter Names tab with the Smelter Reference List tab, displaying common alternate names for smelters as well as location information. Major update to synchronize the CFSI CMRT with the data fields in the newly revised IPC-1755 Standard. Changes include: 1. Changes to question text throughout. 2. Expansion of instructions and definitions. 3. Updated translations of all modified text.</td>
<td>This version incorporates numerous changes to the smelter list as reflected in the Standard Smelter List as of April 17, 2015. The latest version of the Standard Smelter List is available at: <a href="http://www.conflictfreesourcing.org">http://www.conflictfreesourcing.org</a>.</td>
</tr>
<tr>
<td>4.01</td>
<td>June 12th, 2015</td>
<td>Minor revisions to correct reported issues including those related to error checking on the &quot;Checker&quot; and &quot;Smelter List&quot; tabs.</td>
<td>This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of June 12, 2015. The latest version of the Standard Smelter List is available at: <a href="http://www.conflictfreesourcing.org">http://www.conflictfreesourcing.org</a>.</td>
</tr>
<tr>
<td>4.01b</td>
<td>November 16th, 2015</td>
<td>No functional change.</td>
<td>This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of November 6, 2015. The latest version of the Standard Smelter List is available at: <a href="http://www.conflictfreesourcing.org">http://www.conflictfreesourcing.org</a>.</td>
</tr>
</tbody>
</table>
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<thead>
<tr>
<th>Revision</th>
<th>Date</th>
<th>Team</th>
<th>Changes</th>
<th>Latest Smelter List</th>
</tr>
</thead>
</table>
| 4.20     | November 30, 2016 | CFSI CMRT Team     | 1. Corrections to all bugs and errors  
2. Enhancements which do not conflict with IPC-1755  
a. Additions and clarifications in the instructions and definitions  
b. Update to ISO short names for countries  
3. Translation improvements  
4. Updates to the Smelter Reference List and Standard Smelter List | This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of October 6, 2016. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/. |
| 5.0      | May 12, 2017 | CFSI CMRT Team     | 1. Corrections to all bugs and errors  
2. Conformance to IPC-1755 in the wording of the following questions: Q. 1, Q. 2, Q. 5, A, F, I (formerly J); removal of former question G  
a. Additions and clarifications in the instructions and definitions  
b. Update to ISO short names for countries  
3. Conformance to IPC-1755 use of ASCII character set for Standard Smelter Name in hidden column R on the smelter list  
4. Addition of ISO Country Codes and State/Province Codes in hidden columns S and T on the smelter list  
5. Renaming of "Smelter Reference List" to "Smelter Look-up"  
6. Updates to translations for all modified text  
7. Updates to the Smelter Look-up List and Standard Smelter List | This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 17, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/. |
| 5.01     | June 21, 2017 | CFSI CMRT Team     | Corrections to checker tab errors                                        | This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 17, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/. |
| 5.10     | December 1, 2017 | CFSI CMRT Team     | 1. Corrections to all bugs and errors  
2. Enhancements which do not conflict with IPC-1755  
a. Update to ISO short names for countries, states / provinces  
3. Updates to the Smelter Reference List and Standard Smelter List  
4. Change to .xlsx format | This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of September 29, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/. |
| 5.11     | April 27, 2018 | RMI MRT Team       | 1. Corrections to all bugs and errors  
2. Enhancements which do not conflict with IPC-1755  
a. Update to ISO short names for countries, states / provinces  
### REVISION HISTORY

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| 5.12 | RMI MRT Team | April 26, 2019 | 1. Corrections to all bugs and errors  
2. Enhancements which do not conflict with IPC-1755  
a. Update to ISO short names for countries, states / provinces  
3. Updates to the Smelter Reference List and Standard Smelter List | This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 27, 2019. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/. |

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